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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 049846-5003
		U.S. Application No. Unassigned
International Application. No.	International Filing Date	Priority Date Claimed
PCT/US00/23247	August 25, 2000	August 25, 1999

Title of Invention: HIGH PRESSURE/HIGH TEMPERATURE PRODUCTION OF COLORED DIAMONDS

Applicants For DO/EO/US: Thomas R. ANTHONY and Suresh S. VAGARALI

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☐ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☒ Other items or information:
 - a. PCT/IB/304
 - b. PCT/IB/308
 - c. PCT/IB/332
 - d. PCT/IPEA/408
 - e. PCT/IPEA/416

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U.S. APPLICATION NO. | INTERNATIONAL APPLICATION NO. | ATTORNEY DOCKET NUMBER
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15. [X] The following fees are submitted:

Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO.....\$890.00

International preliminary examination fee paid to
USPTO (37 C.F.R. § 1.482).....\$710.00

No international preliminary examination fee paid to
USPTO (37 C.F.R. § 1.482) but international search fee
paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00

Neither international preliminary examination fee
(37 C.F.R. § 1.482) nor international search fee
(37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00

International preliminary examination fee paid to USPTO
(37 C.F.R. § 1.482) and all claims satisfied provisions
of PCT Article 33(2)-(4).....\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
[] 20 [] 30 months from the earliest claimed priority date
(37 C.F.R. § 1.492(e)). \$

Claims	Number Filed	Number Extra	Rate	
Total Claims	22 - 20 =	2	X \$ 18.00	\$ 36.00
Independent Claims	1 - 3 =	0	X \$ 84.00	\$
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$926.00

Reduction by ½ for filing by small entity. All entities associated with this application presently
fully meet the requirements of 36 CFR §1.9(d). **SUBTOTAL = \$0.00**

Processing fee of \$130.00 for furnishing the English translation later
than [] 20 [] 30 months from the earliest claimed priority date
(37 CFR 1.492(f)). **TOTAL NATIONAL FEE = \$0.00**

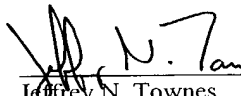
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The
assignment must be accompanied by an appropriate cover sheet
(37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED = \$0.00**

Amount to be	
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a. [X] Please charge my Deposit Account No. 50-0310 in the amount of **\$926.00**
to cover the above fees. A duplicate copy of this sheet is enclosed.

b. [X] **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby
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HIGH PRESSURE/HIGH TEMPERATURE PRODUCTION OF COLORED DIAMONDS

RELATED APPLICATIONS

5 This application claims the benefit under 35 U.S.C. § 119 to provisional application Ser. No. 60/150,979, filed August 25, 1999, which is incorporated herein by reference in its entirety.

BACKGROUND OF THE INVENTION

10 The present invention generally relates to the production of gem quality fancy neon yellow-green diamonds and more particularly to the production of these fancy gem quality diamonds from inferior-grade discolored or so-called "brown" diamonds.

 Diamonds are conventionally divided into four main categories which
15 are designated as Type Ia, Type Ib, Type IIa, and Type IIb. In reality, there is a smooth change in impurity concentration/arrangement between the four types so that intermediate varieties thereof also exist. Type I diamonds contain nitrogen as the major impurity. This category is divided into Type Ia diamonds where the nitrogen exists in an agglomerated state as either pairs called A Centers
20 (Type IaA) or clusters of four nitrogen atoms called B centers (Type IaB) or mixtures thereof (Type IaA/B), and Type Ib where the nitrogen occurs as only isolated single nitrogen atoms called C Centers. Some diamond also contain clusters of three nitrogen atoms called N3 Centers. Over 98% of the larger clear natural diamonds are Type Ia. Type Ib diamonds are rarer and amount to only
25 0.8% of natural stones. Type Ia diamonds also contain platelets, which are small flat inclusions a few atoms thick and about 300 atoms across, that may contain some nitrogen in an unspecified form. Type Ia diamonds also may contain voidites which are small equiaxed cavities that are either vacant or which contain nitrogen in an unknown form. Voidites tend to be seen
30 principally in Type IaA/B or Type IaB diamonds.

atoms. Typically, the N3 centers produce the washed-out yellow that is referred to as "Cape Yellow", while isolated nitrogen atoms (C Centers) produce the richer more vibrant "Canary Yellow" if their concentration is high enough. A small amount of yellow in an otherwise colorless diamond can significantly decrease its market price. However, a rich deep yellow color can produce a "fancy" yellow that has a very high value in the marketplace.

Changing the concentration of N3 centers not only will change the yellow color of a diamond, but can increase the actual brilliance or amount of light thrown back by the diamond. The electrons around an N3 center absorb light in the ultraviolet part of the natural light spectrum, as well as blue light in the visible spectrum. In normal daylight, about 1/10 of the energy of the light is in the form of ultraviolet radiation. If the N3 concentration is relatively high, *i.e.*, 100 ppm, then visible blue light is strongly absorbed and the diamond will have a definite yellow color, which will lower its value. However, if the concentration of N3 centers is reduced by some treatment so that the yellow coloring disappears, the remaining N3 centers can affect the brilliance of a diamond by a two-stage process. First, an ultraviolet photon is absorbed by an N3 center. The energy is temporarily stored in the N3 center. Some of this energy leaks away in the form of phonons or lattice vibrations. After a storage time pre-determined by the half-life of the center, the N3 center will re-emit the remaining energy as light. Since some energy has been lost, the re-emitted light is no longer in the high energy ultraviolet part of the spectrum. Instead, the re-emitted light now is in the visible spectrum (the technical term for this is "ultraviolet downshifting"). Because we do not see ultraviolet light, we do not notice that it is being absorbed (an animal, like a bee that can see ultraviolet light, would see the brilliance of the diamond decreased by the absorption of ultraviolet light by N3 centers). All we see is the increased emission in the visible spectrum and, thus, the diamond now appears extraordinarily bright. Consequently, a controlled reduction of N3 centers in a Type Ia diamond by any treatment will increase the value of a diamond containing them in two ways. First, elimination of some N3 centers reduces or eliminates the yellow tinge in

the diamond. Second, the remaining N3 centers will increase the brilliance of the diamond relative to a perfect Type IIa diamond.

Most Type Ia diamonds as mined are of a brownish color. A brown color can result from the mixture of many other fundamental colors. One way is to mix some yellow coloring from isolated nitrogen atoms (C Centers) or N3 centers with some black color, perhaps from submicroscopic inclusions of graphite. The mixture of yellow and black will produce a brown color. Another way to make a brown diamond is to mix a color center that produces a green diamond with a color center that produces a red diamond. The combination of red and green again will produce a brown color. In fact, there are an infinite number of color combinations that will produce a brown color. Thus, it is not possible to determine the color centers causing the color of a diamond by its color. However, the reverse process is unique; that is, if one knows the type and concentration of color centers in a diamond, one can predict the resulting color.

Type II diamonds vary from colorless to a deep blue color. Type IIa diamonds are most valuable when they are colorless. Excessive mechanical deformation and plastic flow are believed to cause them to have a reddish brown or pink tinge which lowers their value considerably. Many natural Type IIa diamonds have this color tinge and their value could be greatly enhanced as jewelry if they could be made colorless. Some Type IIa diamonds have a steel gray haze in them that also greatly decreases their value. Previous attempts to treat Type II a diamonds to increase their value have failed. G. Lenzen, *Diamonds and Diamond Grading*, p. 207, Buttersworth, London (1983). Both neutron and electron irradiation followed by annealing caused Type IIa diamonds to turn brown, thereby greatly lowering the value of the diamonds.

Type IIb diamonds have a blue color that is imparted by the boron impurity. Because of the rarity of Type IIb diamonds and their attractive blue color, they have the highest value per carat as jewelry items.

In general, the pricing of diamonds is a sensitive function of their color. Fancy color diamonds, such as the canary yellows, blue, red, pink and green diamonds, are rare and have the highest prices. Because of their rarity, the

market for them is not well organized and they are usually sold via a Sotheby's or Christie's type of auction. Brown diamonds are an exception to the fancy color diamond market. Brown diamonds are very common and in the past have been culled and used as industrial diamonds and are correspondingly inexpensive. After fancy color diamonds, colorless diamonds command the highest prices. The degree of colorlessness has a strong nonlinear effect on the price of the diamond. Even the faintest tinge of yellow can considerably reduce the price of colorless diamonds.

In view of the relative prices of fancy colors, colorless, and brown diamonds, there is a strong financial incentive to change brown diamonds to either colorless diamonds or to fancy color diamonds. Irradiation has been used frequently to change the color of such diamonds from unattractive off-colors to attractive blue, green, orange, black, and yellow colors. Electrons, neutrons, gamma rays, and alpha particles have been used to produce irradiation-produced color centers in diamond. Neutron, gamma, and electron irradiation are preferred because they produce a more uniform coloration of the diamond because of their good penetrating power. There is some danger in using neutrons since radioactive species can be produced in inclusions in diamonds by neutron activation. In addition, typical electron or alpha irradiation treatments only develop a superficial color confined to the outer portions of the diamond.

Essentially, all of the different types of radiation produce vacancies in diamond which are seen as the GR1 band in the visible spectrum. Absorption by the GR1 band produces a green, blue-green, dark green, or even a black color in the diamond by absorption at 740.9 nm and from 412-430 nm. Vacancy color centers can be modified by high-temperature annealing to produce colors ranging from blue to pink to red to green. Annealing can be done at temperatures as low as 600° C, because the large number of vacancies introduced by irradiation temporarily increase the mobility of nitrogen and other impurities in the diamond. Eventually, the vacancies diffuse to and are absorbed by vacancy sinks, such as free surfaces, dislocations, and inclusion interfaces in the diamond. Naturally, as the vacancies disappear, their direct effect on the

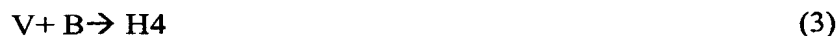
color of the diamond also lessens. Thus, the color gradually goes through a sequence from blue to green to brown to yellow and back to the original color of the diamond. The annealing can be stopped at any point of the annealing sequence to produce the color desired. Multiple irradiation steps and annealing
5 steps can be done to further manipulate the color.

In recent years, people have annealed diamonds at progressively higher temperatures to try to eliminate telltale signs of irradiation in the diamond because "treated" diamonds are valued at a discount to natural diamonds. The GR1 line from the vacancy begins to disappear above 400° C as the vacancies
10 anneal out of the crystal. Other irradiation lines, however, persist to higher temperatures. Much of the information concerning diamond irradiation and annealing treatments is kept as trade secrets by the organizations carrying out such treatments.

An example of a possibly irradiated and heat-treated greenish-yellow
15 diamond was reported in a recent issue of *Gems & Gemology*, XXXIII, pp. 136-137, (Summer, 1997). Several one carat round brilliant stones were given to the GIA laboratory for testing. From their spectroscopic studies, GIA concluded that these diamonds had been treated. In addition, they inferred that the diamonds had been irradiated and subsequently heated to above 1450° C.
20 Although the normal irradiation signatures, such as the GR1 line at 741 nm and the H1b and H1c lines arising from a combination of irradiation and heat treatment, were absent in these two stones, the stones did have an absorption peak in the near infrared range at 985 nm. Although the detection of treated stones is more of an art than a science, it is commonly believed that diamonds
25 that show no absorption peaks at 595 nm, 1936 nm, and 2024 nm, have "almost certainly not been treated". J. Wilks, *et al.*, *Properties and Applications of Diamonds*, p. 91, Butterworth, London (1991).

Type Ia diamonds in which N3 centers give a slight yellow tinge to the crystal have been the most commonly selected stones for irradiation and
30 annealing treatments. Electron or neutron irradiation of these stones and a subsequent heat treatment generates H3 (Nitrogen-Vacancy-Nitrogen) and H4

(Nitrogen-Nitrogen-Vacancy-Nitrogen-Nitrogen) centers which give a pleasing amber gold color to the stones when exposed to light of the visible spectrum. In addition, in UV light, the H3 Centers fluoresce a yellowish green color (E.Fritsch in "The Nature of Diamonds" by G.E.Harlow, page 35 , Cambridge University Press, (1998)). The H3 and H4 Centers, respectively, have absorption bands at 503 and 496 nm. In addition, a vacancy can combine with a single nitrogen C Center to form an H2 Center (Nitrogen-Vacancy). This H2 Center can also impart a cause a greenish color to the crystal and its optical spectra signature is a vibronic absorption band at 637.3 nm. By absorbing light in the red at 637 nm, the remaining light coming from the crystal is shifted towards a greenish color. On the CIE L*a*b color space, the color is shifting from +a (red) to -a (green).



Approximately 10% of the energy in daylight is in the form of ultraviolet rays that are invisible to the human eye. This UV light can excite otherwise invisible color centers in the diamond and cause them to luminesce or fluoresce in the visible. For white diamonds, it is generally believed that diamonds that do not luminesce are more attractive than diamonds that luminesce although this is a source of controversy. T.Mose et al, *Gems & Gemology* 33(4) 244 (1997); A.T. Collins, *J. Gemology*, XVIII, pp. 37-75 (1982).

Strong color luminescence in a diamond imparts a color quality that is reminiscent of a neon light, namely a glowing, ethereal type of color. Some people find this neon-like color very attractive while others do not.

Luminescence from all color centers in diamond can be suppressed by a high concentration of A Centers. If an A center is near a color center in diamond, the UV component of natural light absorbed by the center will not reradiate as fluorescence or photoluminescence. Rather the UV energy absorbed by the color center will be transferred to the A Center and undergo a

non-radiative decay, i.e. an invisible decay. In other words, a lattice vibration or equivalently a phonon or heat will be emitted rather than visible light when a A Center is close to an excited color center that has absorbed UV light. Consequently, if one wants to impart a neon-like color to a crystal, the concentration of A Centers must be held below a critical maximum where all of the luminescence of the stone would be quenched. Experimentally, it is found that the luminescence decreases with increasing A Center concentration. If we take 100% to stand for the luminescence in the absence of A Centers, then 100 ppm nitrogen in A Centers will decrease the luminescence to 15% while 300 ppm of nitrogen in A Centers will decrease the luminescence to only 2.5%. If the nitrogen in A centers is less than 50 ppm (G.Davies et al, Diamond Research 1978, 18-23 (1978)), the crystal can still visibly luminesce because enough of the UV-excited color centers are isolated from A centers so that excited color centers can reradiate and luminesce rather than undergo an invisible nonradiative decay. Typical color centers that are excited by UV light include the N3 Centers and the H3 centers discussed above.

One approach that has been tried to alter the color of a natural type Ia diamond is to go to very high temperatures and pressures in the diamond stable region where nitrogen atoms are more mobile. For each 100° Centigrade increase in temperature, the mobility of nitrogen in diamond increases almost an order of magnitude. Evans, *et al.*, *Proc Roy Soc Lond*, a 344, 111-130 (1975) and Bonzel, *et al.*, *Proc Roy Soc Lond*, A 361, 109-127 (1978), annealed Type Ia diamonds containing nitrogen in the diamond stable region at temperatures above 1960° C under stabilizing pressures as high as 85 kilobars (kbars); i.e., in the diamond stable region. The application of pressure is necessary to keep the diamond in the diamond-stable part of the Pressure-Temperature diagram of carbon. F.P. Bundy, *Physica*, A156, 169-178 (1989). Otherwise, exposure of diamond to such high temperatures would result in the rapid graphitization of the diamond. The diamond stable phase vs the graphite stable phase is generally accepted as being defined by the Simon-Berman line. The Simon-Berman line separates the diamond and graphite stable regions on the phase/temperature (PT)

plot. C.S. Kennedy and G.C. Kennedy in J. Geophysics Res, Vol. 81, pp. 2467 - 2469 (1976) define the Simon-Berman line by the equation:

$$P(\text{kilobars}) = 19.4 + 0.025T(\text{degrees Centigrade}).$$

Most of the diamonds that have been treated by Evans et al and Bonzel et al were of the type IaA/B, *i.e.*, they had a mixture of nitrogen clusters comprised of either nitrogen pairs(A Centers) or quadruples(B Centers) since diamonds with either pure IaA or IaB characteristics are very rare. All of the diamonds contained platelets. In the diamonds with predominantly A Center clusters, the diamonds turned a yellow color as some of the clusters broke up and formed C Centers, isolated nitrogen atoms (Type Ib). They were less successful in treating diamonds with predominantly B Centers which apparently are more stable than A Centers. The most attractive and deepest yellow colors were obtained with Type Ia diamonds at temperatures between 2250° and 2300° C and 48 kilobars of pressure (Evans *et al.*, *supra.*).

Although Evans and co-workers achieved a successful color change, both the Type Ia and IIa diamonds crumbled into small pieces. In other words, the operation was successful but the patient died. Nothing of economic value was created and any original value of the diamonds was destroyed by the treatment. Also, the requirement to operate in the diamond stable region of the carbon PT diagram necessitates extremely high pressures at the treatment temperatures involved. Such high pressures are either currently unattainable or are not economic. As a result of their work, high-pressure and high-temperature treatments of diamond to change their color were abandoned by the diamond research community in favor of irradiation and low-temperature annealing.

BRIEF SUMMARY OF THE INVENTION

The present invention is directed to a method for treating discolored natural diamonds, especially Type IaB diamonds, Type IaA/B diamonds and Type IaA diamonds with nitrogen either as predominantly B Centers and/or with low concentrations of nitrogen in A Centers, preferably less than 100 ppm, at pressures and temperatures in the graphite stable region of the carbon

pressure-temperature diagram to produce a yellow-green neon-like color in the diamond. The method includes placing a discolored natural diamond in a pressure transmitting medium; i.e., a powder which is consolidated into a pill. Next, the pill is placed into a high pressure/high temperature (HP/HT) press at
5 elevated pressure and elevated temperature within the graphite stable or diamond stable range of the carbon phase diagram for a time sufficient to improve the color of said diamond. Finally, the diamond is recovered from the press. Neon Yellow-Green diamonds can be made by this method.

Typical temperatures range from about 1500° to about 3500° C with
10 corresponding pressures ranging from about 10 to about 100 kilobars, and preferably from about 20 to about 80 kilobars. Pressing times in the HP/HT press can range from as short as about 30 seconds up to as long as 96 hours or more, with pressing times preferably ranging from around 5 minutes up to 24 hours. These conditions (time, temperature, and pressure) are correlated and
15 adjusted to the nature of the discoloring defects in the diamond which have to be altered in order to improve the color of the diamond. The HP/HT treatment removes any brown tint or coloring that may have existed in the starting diamond. Natural diamonds were typically held below the earth at temperatures ranging from 1000→ 1300 C for periods of 100 million to 3 billion years. This
20 time period allowed single nitrogen atoms to migrate and form A, B and N3 centers. If the nitrogen concentration was high and the time period long, then the reaction shown in Equation 1 went to completion, i.e. all of the nitrogen ended up at B Centers which is the lowest energy state. If the time period was short and the temperature relatively low, then the reaction shown in Equation 1
25 did not go to completion and these natural diamonds may have some C Centers (Type Ib) or A centers (Type IaA) or mixtures thereof or mixtures of A and B Centers (Type IaA/B). On going to high temperatures, the mobility of nitrogen increases orders of magnitude. Also, the higher temperatures can break up stable B centers and A centers. Consequently, if one starts with a pure Type
30 IaA diamond, after an HPHT anneal, it will contain new C and new B centers and less A centers. Similarly, a Type IaB diamond following an HPHT anneal,

will contain some new A and new C Centers and fewer B Centers. Likewise, a Type Ib diamond after an HPHT anneal will contain new A and new B Centers and less C Centers. An important objective of our invention is to keep the final concentration of nitrogen in A Centers to be less than 50 ppm so that the A
5 Centers do not quench the desired fluorescence. This can be done by selecting crystals where the total nitrogen concentration is less than 50 ppm or by selecting suitable crystals with higher nitrogen concentrations that end up with less than 50 ppm of nitrogen in A Centers after the HPHT anneal. Another
10 object of our invention is to minimize the number of C centers in the diamond because these C Centers impart a Canary Yellow color to the diamond but not a neon-like yellowish green color. If the concentration of C Centers is much greater several ppm, the neon-like color characteristic of the H3 Centers may be swamped out by the Canary Yellow of the C Centers. The ideal starting
15 diamonds to make Neon-like yellowish-green are Type IaB's. During the HPHT anneal, some B centers will break up to form A Centers, some of which will form H3 Centers by combining with excess vacancies during cooling. These diamonds will give us the maximum ratio of H3 to A Center concentration while minimizing the number of color diluting C Centers. Many
20 brownish diamonds from the Argyle mine in Australia are ideal for our purpose and are available at economically attractive prices.

In addition to the rearrangement of nitrogen atoms during an HPHT anneal, vacancies are generated at high temperatures from vacancies sources in the diamond such as dislocations, external surfaces and internal surfaces so that the vacancy concentration can reach the higher concentrations dictated by thermal equilibrium at higher temperatures. The vacancy concentration C_v is given by:

$$C_v = C_o \exp (-Q_v/RT) \quad (5)$$

where C_0 is a constant, Q_v is the vacancy formation energy, R is the universal gas constant and T is the absolute temperature in degrees Kelvin. Q_v is estimated to be 1.6 eV. The equilibrium vacancy concentration is a monotonically increasing function of temperature. Upon cooling, a

supersaturation of vacancies is created in the diamond. To reach equilibrium during cooling, excess vacancies migrate and are absorbed by vacancy sinks. In diamond, the strongest sinks are nitrogen complexes such as A, B and C centers (G.Davies et al, Phys Rev B 46, 13157-13170 (1992)). Consequently, during cooling H2, H3 and H4 centers are formed as shown above in equations 2, 3 and 4. It is known that H3 centers formed by the combination of a free vacancy with an A center fluoresce a yellowish green color (E.Fritsch in "The Nature of Diamonds" by G.E.Harlow, page 35, Cambridge University Press, (1998)). Consequently, to develop the strongest neon-like yellowish green color, a diamond should have a high concentration of H3 centers which produce the desired fluorescence and a low concentration of A Centers which quench the fluorescence. Our HPHT treatment of suitably selected natural diamonds produces diamonds with a high concentration of H3 Centers and low concentration of A Centers.

Because the excess vacancy concentration is only a few parts per million, the concentration of A centers necessary to form H3 fluorescent Centers can be correspondingly small. Any extra A centers just quench any potential H3 fluorescence centers in their neighborhood by providing routes of nonradiative decay. Therefore, for Type IaB diamonds, the HPHT treatment of our invention can be of short duration and relatively low temperatures compared to our HPHT treatments of IIa crystals so as to minimize the conversion of B Centers to A Centers during the HPHT anneal. Conversely, for Type IaA diamonds, a longer and/or higher temperature HPHT anneal may be desirable because more A Centers will be converted to B Centers. For Type IaA/B, the best treatment will depend on the proportion of A and B Centers in the diamond. Those with a high proportion of B Centers should be treated like the IaB diamonds while those with a high proportion of A Centers should be treated like IaA diamonds.

Advantages of the present invention include the ability to upgrade the color of discolored or off-colored diamond to produce fancy neon yellow green color diamonds. Another advantage is the ability to maintain the mechanical

and structural integrity of the color-improved diamonds. A further advantage is the specific ability to make neon yellowish-green diamonds from both Type Ib, Type IaA , Type IaB and Type IaA/B diamonds. A further advantage is the ability to retain the optical clarity of the treated diamonds disclosed herein.

5 These and other advantages will be readily apparent from the disclosure set forth herein.

BRIEF DESCRIPTION OF THE DRAWINGS

For a fuller understanding of the nature and objects of the present invention, reference should be had to the following detailed description taken in connection with the accompanying drawings, wherein:

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Fig. 1 is a cross-sectional view of a conventional HP/HT apparatus which may utilized to anneal discolored diamond for improving their color.; and

Fig. 2 is a cross-sectional view of a typical reaction cell for annealing natural diamond in the apparatus in Fig. 1.

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DETAILED DESCRIPTION OF THE INVENTION

The present invention is illustrated in connection with a conventional HP/HT apparatus which may be of the belt- or die-type described, for example, in U.S. Pats. Nos. 2,947,611; 2,941,241; 2,941,248; 3,609,818; 3,767,371; 4,289,503; 4,673,414; and 4,954,139. However, it will be appreciated that the method of the present invention will find applicability in any HP/HT apparatus which is capable of providing the required HP and HT conditions simultaneously. Accordingly, it is intended that such other HP/HT apparatuses are within the scope of the invention herein described.

20

Looking then to Fig. 1, an illustrative HP/HT apparatus useful in the practice of the present invention is shown generally at 10 to comprise a generally cylindrical reaction cell assembly 12 interposed between a pair of punches, 14a and 14b, and surrounded by a generally annular belt or die member 16. Preferably, both punches 14 and belt member 16 are formed of a relatively hard material, such as cemented tungsten carbide. Between punches

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14 and belt member 16 are a pair of insulating assemblies, 18a and 18b, each of which is formed of a pair of thermally- and electrically-insulating members, 20a-b and 22a-b, each preferably formed of pyrophyllite or the like, and having an intermediate metallic gasket, 24a and 24b, disposed therebetween.

5 As shown, reaction cell assembly 12 includes a hollow cylinder 26, which may be formed of a material, such as salt or the like, which is converted during HP/HT by phase transformation or compaction to a stronger, stiffer state or, alternatively, of a talc material or the like which is not so converted. In either case, the material of cylinder 12 is selected as being substantially free of
10 volume discontinuities or the like, under HP/HT as may occur, for example, with pyrophyllite or alumina materials. Materials meeting such criteria are described in U.S. Pat. No. 3,030,662.

 Positioned concentrically within salt cylinder 26 is an adjacent cylinder 28, which is provided as a graphite electrical resistance heater tube. Electrical
15 connection with heater tube 28 is achieved via an adjacent pair of conductive-metal end discs, 30a and 30b, which are axially-disposed with respect to heater tube 28. Adjacent each disc 30 is provided an end cap assembly, shown generally at 32a and 32b, each of which comprises an insulating plug, 34a and 34b, surrounded by an electrically conductive ring, 36a and 36b.

20 It will be appreciated that the interior of heater 28, along with end discs 30, salt cylinder 26, and end cap assemblies 32, defines a generally-cylindrical inner chamber, shown at 38, having defined axial and radial extents and containing a pressure transmitting medium 40. Pressure transmitting medium 40 is selected as having a relatively low coefficient of internal friction to make it
25 semi-fluid at HP/HT conditions, and may be provided as a cylindrical salt liner 42, which defines radial pressure transmitting medium layers 43a and 43b, and is fitted with an axial pair of salt plugs, 44a and 44b, each of which defines an axial pressure transmitting medium layer. Preferably, salt liner 42 and plugs 44 are formed of a graphite material or of sodium chloride, but also may be
30 formed of any chloride, iodide, or bromide of sodium, potassium, or calcium or a mixture thereof. Alternatively, pressure transmitting medium 40 may be

provided in powdered or particulate form. In either case, medium 40 defines a cavity space, as is shown at 46, which is configured to receive the discolored diamond to be annealed. Such is the configuration of a representative HP/HT apparatus for practicing the present invention.

5 In departing from conventional uses of HP/HT apparatuses, the diamond to be annealed is placed within a powdered pressure transmitting medium which then is consolidated or densified to in excess of 90% of its theoretical density to form a pill. The pill medium must transmit hydrostatic pressure without loss as a continuum onto diamond surfaces in the HP/HT apparatus to avoid shear stresses that could plastically deform the diamond being treated. The pill medium also must be thermally and chemically stable, and preferably should not react with or cause dissolution of diamond. Suitable media are stable fluids or gases at annealing conditions or highly plastic solids, including, but not limited to, salts such as describe above, oxides such as magnesium oxide or calcium oxide, or carbons such as graphite. The pill medium also must be scaleable to the high pressures and high temperatures that are encountered with the HP/HT apparatus. Finally, the pill medium must possess a volume compressibility which is small and comparable to the gasketing system, *i.e.*, it must be void-free and close to its theoretical lattice density at annealing conditions. Multiple pills can be housed within cavity 46 if necessary, desirable, or convenient.

Pressure conditions for the present invention comprehend conditions in the graphite stable region of the PT diagram, typically in the range of from about 10 to about 200 kilobars, and preferably from about 20 to about 80 kilobars. Temperatures employed in the practice of the present invention typically are in the range of from about 1500° to about 3500° C. Annealing conditions depend upon the nature of the defect in the diamond which have to be removed or changed to improve color and can readily be determined by those skilled in the art without undue experimentation. It is central to the present invention that the HP/HT conditions be within the graphite stable range of the carbon phase diagram.

HP/HT conditions are maintained for a time adequate for the color of the discolored diamond to improve. Such times can range from several minutes to several hours, preferably, from about 5 minutes to 24 hours, and more preferably, from about 5 minutes to about 1 hour. In this regard, the discolored
5 diamond can be subject to multiple annealing steps at the same or at different conditions, as the examples will demonstrate.

Discolored diamonds subjected to the inventive process may be cut and polished prior to annealing or following their recovery from the pill. Thereafter, the diamond is ready for use in jewelry or fabrication into devices, such as, for
10 example, heat sinks, optical windows, or the like. Of special importance is the ability of the present invention to convert discolored Type IaB and Type IaA/B diamonds into a neon-like yellow-green stone.

The following examples show how the present invention has been practiced, but should not be construed as limiting. In this application, all units
15 are in the metric system, unless otherwise stated. All patents and publications referred to herein are expressly incorporated herein by reference.

EXAMPLES

In the examples, annealing of natural diamonds was done under high temperature and high pressure conditions using a belt type apparatus which is
20 capable of reaching very high pressures (~60 kbars) and temperatures (~2600° C). Diamond annealing in accordance with the present invention is illustrated in the examples using the following cell arrangements and operating conditions.

The reaction cell assembly is schematically shown in Fig. 2. Diamond crystal 51 is placed inside cylindrical pill 52 which is made of high purity
25 graphite or sodium chloride powder. Graphite is preferred because it does not melt during high temperature annealing. Pill 52 has the following dimensions: diameter of 0.76 inches (19.3 mm) and height of 0.86 inches (21.8 mm). Pill 52 is made by compacting the graphite powder and diamond crystal 51 in a hydraulic press. Pill 52 is placed within magnesium oxide tube 53 which is
30 fitted with end discs 54a and 54b. This assembly, then, is placed within

graphite tube 55 which is fitted with end discs 56a and 56b. Such assembly is placed within salt cylinder 59 whose ends are fitted with graphite pins 58a and 58b surrounded by, respectively, salt cylinders 60a and 60b. Graphite discs 57a and 57b, respectively, seal the ends of salt cylinder 59. 61a and 61b are rings made of ceramic material which improve the stability of the reaction cell during HP/HT run.

The reaction cell assembly then is placed inside a high pressure apparatus (such as a belt-type apparatus) using standard gasket assemblies to form a seal at high pressure and to make electrical contact with the punches so that it can be heated with electrical power.

A typical annealing run starts with the reaction cell being pressurized to a set pressure of ~60 kbars. The electrical power is turned on when the pressure reaches ~96% of the set pressure. Initially, the diamond is heated to approximately 1200° C and held at this temperature for one minute. Thereafter, the temperature is increased to the set value, e.g., approximately 2500° C, in 2.5 minutes and held at the set value for 18 minutes. The temperature should remain steady at 2500C during the last 6 minutes. Then the power is slowly reduced to nil in 1.5 minutes. The cell pressure is held constant for about 4 minutes and the pressure then slowly released. The reaction cell is removed from the HP/HT apparatus and the graphite pill containing the diamond is removed. The diamond is recovered by digesting the pill in a boiling mixture of 90% sulfuric acid and 10% nitric acid.

EXAMPLE I

A IaB type natural rough diamond containing platelets as evident by the peak at 1360 cm⁻¹ in infrared(IR) spectrum in absorption mode, weighing 1.83 carats with 22 ppm of total nitrogen and being brown in color was embedded inside a graphite pill made by pressing high purity graphite powder. The diamond was annealed at ~60 kbars and 2300° C for 10 minutes. The diamond crystal was recovered and visual examination showed that its color had changed to a neon-like yellow-green color. The IR spectrum of the processed diamond

under absorption mode showed that the intensity of the platelet peak at 1360 cm-1 had decreased by ~ 65%. There was no change in the concentration of B centers. It also had a high concentration of H3 Centers as determined by the H3 absorption line at 503 nm.

5

EXAMPLE II

A IaB type natural rough diamond containing platelets as evident by the peak at 1360 cm-1 in infrared(IR) spectrum in absorption mode, weighing 2.17 carats with 24 ppm of total nitrogen and being brown in color was embedded inside a graphite pill made by pressing high purity graphite powder. The diamond was annealed at 60 kbars and 2150° C for 4 minutes. The diamond crystal was recovered and visual examination showed that its color had changed to a neon-like yellow-green color. The IR spectrum of the processed diamond under absorption mode showed that the intensity of the platelet peak at 1360 cm-1 had decreased by ~ 89%. There was also no change in the concentration of B centers. It also had a high concentration of H3 Centers as determined by the H3 absorption line at 503 nm.

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EXAMPLE III

A Type IaA/B natural rough diamond containing platelets as evident by the peak at 1360 cm-1 in infrared(IR) spectrum in absorption mode, weighing 1.85 carats, brown in color and with an A center concentration of 5 ppm and B center concentration of 20 ppm was placed inside a pill made by pressing high purity graphite. The diamond was annealed at ~60 kbars and ~2000° C for 2 minutes. Visual examination of the recovered diamond showed that the color had changed to a pleasing neon greenish-yellow color. The IR spectrum of the processed diamond under absorption mode showed that the intensity of the platelet peak at 1360 cm-1 had decreased by ~ 60%. There was also no change in the concentration of A and B centers. It also had a high concentration of H3 Centers as determined by the H3 absorption line at 503 nm.

20

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EXAMPLE IV

A Type IaA/B natural rough diamond containing platelets as evident by the peak at 1360 cm⁻¹ in infrared(IR) spectrum in absorption mode, weighing 1.92 carats, brown in color and with an A center concentration of 1 ppm and B center concentration of 37 ppm was placed inside a pill made by pressing high purity graphite. The diamond was annealed at ~60 kbars and ~2125° C for 2 minutes. Visual examination of the recovered diamond showed that the color had changed to a pleasing neon greenish-yellow color. The IR spectrum of the processed diamond under absorption mode showed that the intensity of the platelet peak at 1360 cm⁻¹ had decreased by ~ 60%. It also had a high concentration of H3 Centers as determined by the H3 absorption line at 503 nm.

The claimed invention is:

1. A method for improving the color of discolored natural diamond, comprising:

- (a) placing said discolored natural diamond in a pressure transmitting medium;
- 5 (b) consolidating said pressure transmitting medium into a pill;
- (c) exposing said pill to elevated pressure and elevated temperature within the graphite stable or diamond stable range of the carbon phase diagram for a time sufficient to improve the color of said diamond; and
- 10 (d) recovering said diamond.

2. The method of claim 1, wherein said discolored natural diamond is a Type IaB ,Type IaA/B, Type IaA or Type Ib diamond.

15 3. The method of claim 1, wherein said discolored natural diamond is a Type IaB ,Type IaA/B, Type IaA or Type Ib diamond with platelets.

4. The method of claim 1, wherein said discolored natural diamond is a Type IaB with a total nitrogen concentration less than 500 ppm.

20 5. The method of claim 1 wherein said discolored natural diamond is Type IaA/B with a total nitrogen concentration less than 500 ppm.

25 6. The method of claim 1 wherein said discolored natural diamond is Type IaA with a total nitrogen concentration less than 500 ppm.

7. The method of claim 1, wherein the recovered diamond is neon yellow-green color.

8. The method of claim 1, wherein the recovered diamond has yellowish green color.
9. The method of claim 1 wherein the recovered diamond has greenish yellow color.
10. The method of claim 1, wherein said elevated temperature ranges from about 1500° to 3500° C and said elevated pressure ranges from about 10 to about 100 kilobars.
11. The method of claim 6, wherein said elevated pressure ranges from about 20 to about 80 kilobars.
12. The method of claim 1, wherein said recovered diamond is subjected to step (c) a plurality of times.
13. The method of claim 1, wherein said pressure transmitting medium is thermally and chemically stable at HP/HT and is selected from the group consisting of a salt, an oxide, or graphite.
14. The method of claim 2 wherein the final concentration of A Centers is less than 50 ppm.
15. The method of claim 1 wherein the total concentration of nitrogen is less than 50 ppm.
16. The method of claim 1 where the final C Center concentration is less than 2 ppm.
17. The method of claim 9, wherein said pressure transmitting medium is a salt selected from the group consisting of sodium chloride, sodium iodide,

sodium bromide, potassium chloride, potassium iodide, potassium bromide, calcium chloride, calcium iodide and calcium bromide.

5 18. The method of claim 9, wherein said pressure transmitting medium is selected from the group consisting of magnesium oxide, calcium oxide, and mixtures thereof.

10 19. The method of claim 9, wherein said pressure transmitting medium is graphite.

20. The method of claim 1, wherein said elevated temperature and elevated pressure are maintained from 30 seconds to 96 hours.

15 21. The method of claim 1, wherein said elevated temperature and elevated pressure are maintained from 5 minutes to 24 hours.

22. The method of claim 1, wherein said elevated temperature and elevated pressure are maintained from about 5 minutes to about 1 hour.

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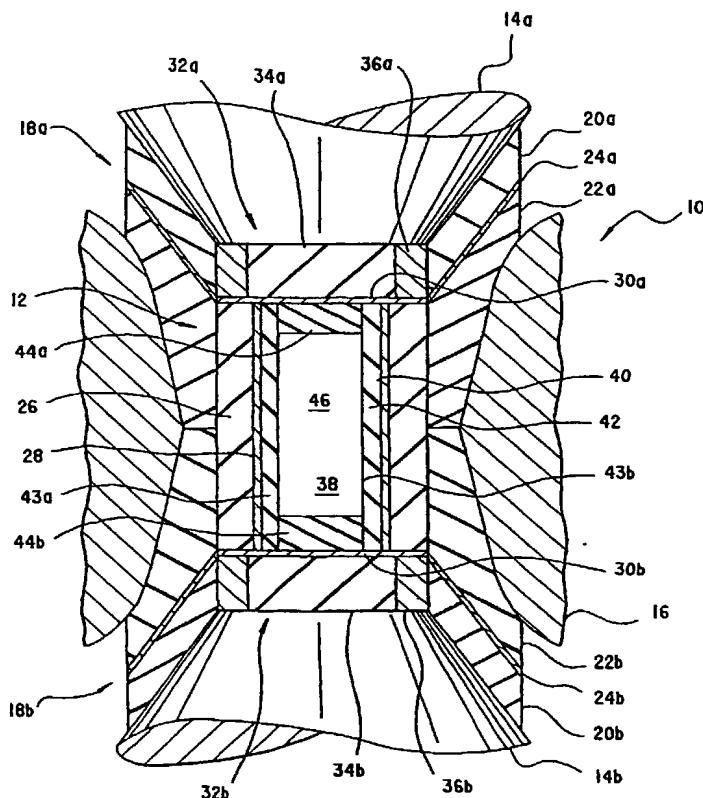
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[Continued on next page]

(54) Title: **HIGH PRESSURE/HIGH TEMPERATURE PRODUCTION OF COLORED DIAMONDS**



(57) Abstract: The present invention is directed to a method for treating discolored natural diamonds, especially Type IaB diamond and Type IaA/B diamonds with platelets for improving their color. The method includes placing a discolored natural diamond in a pressure transmitting medium (40) which is consolidated into a pill. Next, the pill is placed into a high pressure/high temperature (HP/HT) press (10) at elevated pressure and elevated temperature within the graphite stable or diamond stable range of the carbon phase diagram for a time sufficient to improve the color of said diamond. Finally, the diamond is recovered from said press (10). Attractive yellowish-green, greenish-yellow and neon yellow-green diamonds can be made by this method.

WO 01/14050 A1

FIG. 1

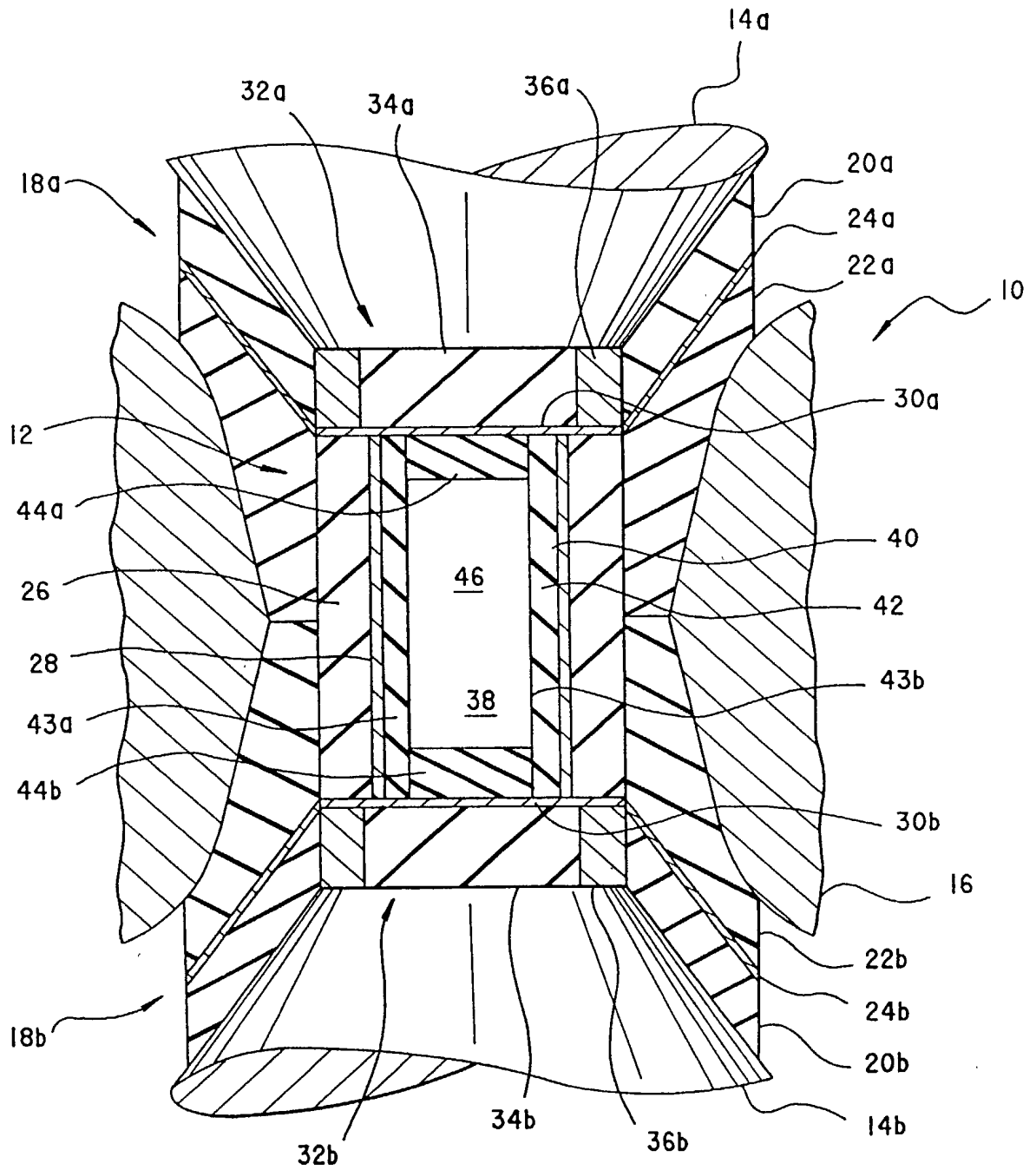
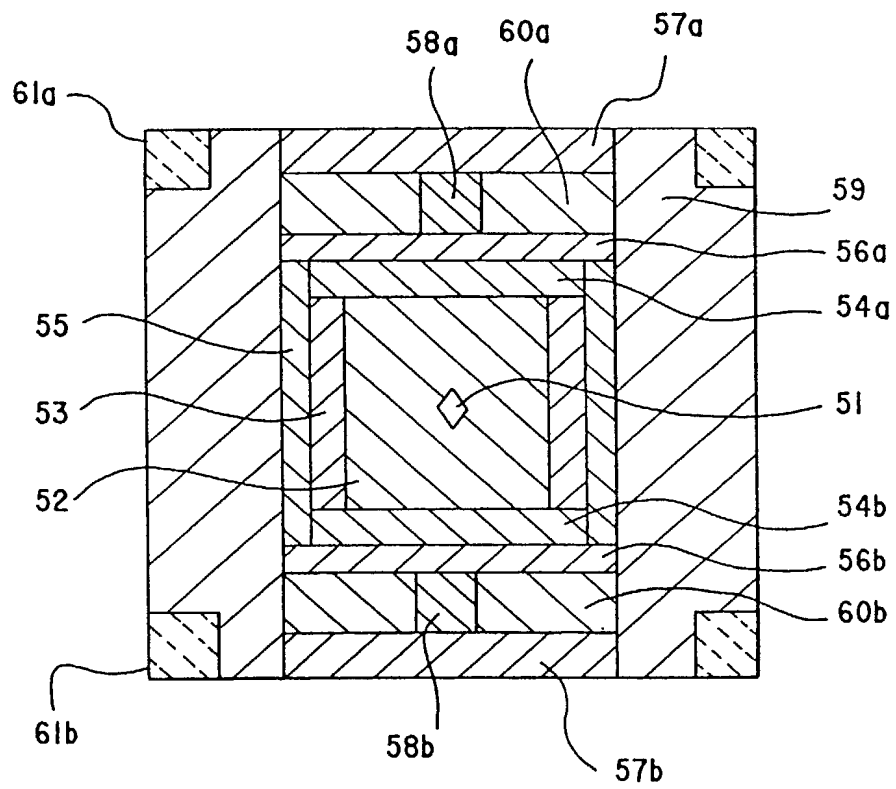
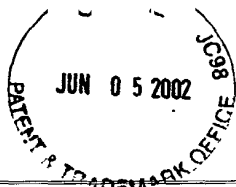
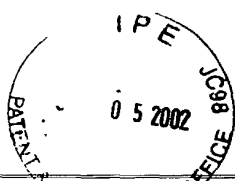


FIG.2





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The specification of which:

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was filed as United States application Serial No. _____ on _____ and was amended on _____ (if applicable); or

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I acknowledge the duty to disclose to the U.S. Patent and Trademark Office information which is material to the patentability of claims presented in this application in accordance with Title 37, Code of Federal Regulations Section 1.56.

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the registered practitioners of Morgan, Lewis & Bockius LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number.					
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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